

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 840.00; 40.00

Complete if Known

Application Number 09/866,991
 Filing Date MAY 29, 2001
 First Named Inventor PALMER, et al.
 Examiner Name UNASSIGNED
 Group Art Unit UNASSIGNED
 Attorney Docket No. 068354.1088

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-0383

Deposit
Account
Name

BAKER BOTTS L.L.P.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
101	710	201	355		Utility filing fee	710.00
106	320	206	160		Design filing fee	
107	490	207	245		Plant filing fee	
108	710	208	355		Reissue filing fee	
114	150	214	75		Provisional filing fee	

SUBTOTAL (1) (\$ 710.00

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 18 -20** = 0 x 0 = 0

Independent Claims 3 -3** = 0 x 0 = 0

Multiple Dependent Claims 0 = 0

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description	Fee Paid
103	18	203	9		Claims in excess of 20	
102	80	202	40		Independent claims in excess of 3	
104	270	204	135		Multiple dependent claim, if not paid	
109	80	209	40		** Reissue independent claims over original patent	
110	18	210	9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00

*For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00

SUBMITTED BY

Name (Print/Type)

PAUL N. KATZ

Registration No.
(Attorney/Agent)

35,917

Complete (if applicable)

Telephone

713.229.1343

Signature

Paul N. Katz

Date

SEPT. 28, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail:
EL905244049US



SECTOR

#3.6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PALMER, et al.

§
§
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§
§Group Art Unit: *To be Assigned*

Serial Number:

09/866,991

Examiner: *To be Assigned*

Filed:

MAY 29, 2001

Title: "PROGRAMMABLE IDENTIFICATION
FOR COMMUNICATION CONTROLLER"

Atty. Docket No. 068354.1088

CERTIFICATE OF MAILING VIA EXPRESS MAIL
37 C.F.R. 1.10I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT
THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS EXPRESS MAIL, POST OFFICE TO ADDRESSEE, ON
THE DATE INDICATED BELOW, AND IS ADDRESSED TO:HONORABLE COMMISSIONER FOR PATENTS
BOX MISSING PARTS
WASHINGTON, D.C. 20231

PAUL N. KATZ

REG. NO. 35,917

DATE OF MAILING:

SEPTEMBER 28, 2001

EXPRESS MAIL LABEL:

EL905244049US

BOX MISSING PARTS

Honorable Commissioner for Patents
Washington, D.C. 20231RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION, MAILED JULY 30, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application for the application referenced above, mailed July 30, 2001, Applicants respectfully submit herewith those items listed below. Enclosed with this response are:

A copy of the Notice to File Missing Parts of Non-Provisional Application;

Fee Transmittal Form, with duplicate copy for fee processing;

Check #753662 in the amount of \$840.00 for:

Filing Fee	\$ 710.00
Surcharge for late filing fee, per 37 C.F.R. 1.16(e);	\$ 130.00

Executed Declaration, with separate signature pages;

Executed Assignment and Assignment Recordation cover sheet,
with duplicate cover sheet for fee processing;

Check #753661 in the amount of \$40.00 for Assignment Recordation Fee;

Two (2) executed Powers of Attorney by Assignee for each of two Assignees;

Return postcard to acknowledge receipt of these items.

The two-month shortened statutory time for response is due September 30, 2001,
therefore this response is considered timely filed.

The Commissioner is hereby authorized to debit Baker Botts L.L.P. (*formerly Baker & Botts, L.L.P.*) Deposit Account Number 02-0383, Order Number 068354.1088, for any underpayment of fees, or to credit same with any overpayment of fees, which may arise in association with this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.

DATE: September 28, 2001

BY: Paul N. Katz

Paul N. Katz

Registration No. 35,917

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Houston, Texas 77002-4995

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Facsimile: (713) 229-7743

ATTORNEY FOR APPLICANTS



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,991	05/29/2001	Mark Palmer	068354.1088

023640
BAKER BOTTS, LLP
910 LOUISIANA
HOUSTON, TX 77002-4995



CONFIRMATION NO. 4513

FORMALITIES LETTER



OC00000006361649

Date Mailed: 07/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 NHQHAMI 00000006 09866991

01 FC:101
02 FC:105

710.00 OP
130.00 OP

Express Mail:
EL905244049US